



1642
TFW

PATENT
Docket No. C 2703 PCT/US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Application of Sybille Buchwald-Werner

Serial No. 10/521,722 Examiner:
Filed: 01/18/2005 Art Unit: 1642
TITLE: COSMETIC PREPARATIONS WITH ANTIBACTERIAL
PROPERTIES COMPRISING GLYCYRRHETINIC ACID

REQUEST FOR CORRECTION OF FILING RECEIPT

Office Of Initial Patent Examinations
Customer Service Center
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

February 6, 2006

Sir:

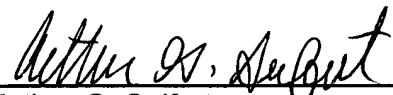
We hereby request correction of the Filing Receipt for Serial No. 10/521,722.

The TITLE in the above referenced application should be corrected to read:

COSMETIC PREPARATIONS WITH ANTIBACTERIAL PROPERTIES
COMPRISING GLYCYRRHETINIC ACID

We thank you for your assistance in this matter.

Respectfully submitted,



Arthur G. Seifert
(Reg. No. 28,040)
Attorney for Applicant(s)
(215) 628-1129

Cognis Corporation, Patent Dept.
300 Brookside Avenue
Ambler, PA 19002

Enclosures:

1. Filing Receipt (copy)
2. Executed Declaration (copy)
3. Cover Sheet of PCT Appln. WO 2004/009038 A3



ABS/RS

UNITED STATES PATENT AND TRADEMARK OFFICE

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APPL NO:	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/521,722	01/18/2005	1642	1000	C 2703 PCT/US		20	2

23657
COGNIS CORPORATION
PATENT DEPARTMENT
300 BROOKSIDE AVENUE
AMBLER, PA 19002

CONFIRMATION NO. 2264

FILING RECEIPT



OC000000017513843

Date Mailed: 11/28/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Sybille Buchwald-Werner, Duesseldorf, GERMANY;

Power of Attorney: The patent practitioners associated with Customer Number 23657.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP03/07457 07/10/2003

Foreign Applications

GERMANY 10232774.2 07/18/2002

Projected Publication Date: 03/02/2006

Non-Publication Request: No

Early Publication Request: No

RECEIVED COGNIS LAW DEPT.
ACTION _____ INFO _____

DEC 01 2005

DUE _____
FILE C2703

Title

X Cosmetic preparations with antibacterial properties comprising glycyrrhetinic acid

ENTERED
CPI DATABASE

Preliminary Class

424

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

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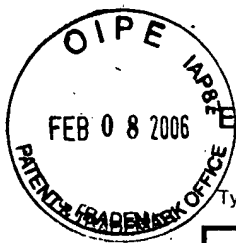
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PTO/SB/01 (6-95)

Approved for use through: 10/31/98 OMB 0651-0032

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Type a plus sign (+) inside this box → ☐

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION <input type="checkbox"/> Declaration Submitted with Initial Filing OR <input checked="" type="checkbox"/> Declaration Submitted after Initial Filing	0010/PTO Rev. 6/95	U.S. Department of Commerce Patent and Trademark Office	Attorney Docket Number	C 2703 PCT/US
	First Named Inventor			BUCHWALD-WERNER, Sybille
	COMPLETE IF KNOWN			
	Application Number			
	Filing Date			
	Group Art Unit			
Examiner Name				

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**COSMETIC PREPARATIONS WITH ANTIBACTERIAL PROPERTIES COMPRISING
GLYCYRRHETINIC ACID**

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) 07/10/2003 as United States Application Number or PCT International

Application Number PCT/EP2003/007457 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority		Certified Copy Attached?	
			Not Claimed		YES	NO
102 32 774.2	DE	07/18/2002	<input type="checkbox"/>		<input type="checkbox"/>	<input checked="" type="checkbox"/>
			<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>
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			<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto:

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.
<input type="checkbox"/>		

Burden Hour Statement: This form is estimated to take .4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington DC 20231.

DECLARATION

Page 2

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or §365© of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code §112.1 acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)
	PCT/EP2003/007457	07/10/2003	

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto.

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☐ Firm Name Customer Number or label
OR

☒ List Attorney(s) and/or agent(s) name and registration number below:

Name	Registration Number	Name	Registration Number
Aaron R. Ettelman	42,516		

☐ Additional attorney(s) and/or agent(s) named on a supplemental sheet attached hereto.

Please direct all correspondence to: ☒ Customer Number ☐ or label **23657** OR ☐ Fill in correspondence address below

Name	Aaron R. Ettelman				
Address					
Address					
City		State		Zip	
Country		Telephone	215-628-1413	Fax	215-628-1345

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name	Sybille	Middle Initial		Family Name	Buchwald-Werner	Suffix e.g. Jr.	
Inventor's Signature	<i>Buchwald</i>			Date	08.11.04		
Residence: City	Duesseldorf	State		Country	Germany	Citizenship	Germany
Post Office Address	Himmelgeister Landstrasse 100						
Post Office Address							
City	40589 Duesseldorf	State		Zip		Country	Germany
						Applicant Authority	

☐ Additional inventors are being named on supplemental sheet(s) attached hereto

(12) NACH DEM VERTRAG ÜBER DIE INTERNATIONALE ZUSAMMENARBEIT AUF DEM GEBIET DES
PATENTWESENS (PCT) VERÖFFENTLICHTE INTERNATIONALE ANMELDUNG

(19) Weltorganisation für geistiges Eigentum
Internationales Büro



(43) Internationales Veröffentlichungsdatum
29. Januar 2004 (29.01.2004)

PCT

(10) Internationale Veröffentlichungsnummer
WO 2004/009038 A3

- (51) Internationale Patentklassifikation⁷: **A61K 7/00**, 7/06, 7/48, 7/50 (81) Bestimmungsstaaten (*national*): AU, CN, JP, KR, US.
- (21) Internationales Aktenzeichen: PCT/EP2003/007457 (84) Bestimmungsstaaten (*regional*): europäisches Patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR).
- (22) Internationales Anmeldedatum: 10. Juli 2003 (10.07.2003) Erklärung gemäß Regel 4.17:
— Erfindererklärung (Regel 4.17 Ziffer iv) nur für US
- (25) Einreichungssprache: Deutsch Veröffentlicht:
— mit internationalem Recherchenbericht
— vor Ablauf der für Änderungen der Ansprüche geltenden Frist; Veröffentlichung wird wiederholt, falls Änderungen eintreffen
- (26) Veröffentlichungssprache: Deutsch
- (30) Angaben zur Priorität: 102 32 774.2 18. Juli 2002 (18.07.2002) DE (88) Veröffentlichungsdatum des internationalen Recherchenberichts: 6. Mai 2004
- (71) Anmelder (für alle Bestimmungsstaaten mit Ausnahme von US): COGNIS DEUTSCHLAND GMBH & CO. KG [DE/DE]; Henkelstr. 67, 40589 Düsseldorf (DE).
- (72) Erfinder; und (75) Erfinder/Anmelder (nur für US): BUCHWALD-WERNER, Sybille [DE/DE]; Himmelgeister Landstr. 100, 40589 Düsseldorf (DE).
- Zur Erklärung der Zweibuchstaben-Codes und der anderen Abkürzungen wird auf die Erklärungen ("Guidance Notes on Codes and Abbreviations") am Anfang jeder regulären Ausgabe der PCT-Gazette verwiesen.

(54) Title: COSMETIC PREPARATIONS WITH ANTIBACTERIAL PROPERTIES COMPRISING GLYCYRRHETINIC ACID

(54) Bezeichnung: KOSMETISCHE ZUBEREITUNGEN MIT ANTIBAKTERIELLEN EIGENSCHAFTEN GLYZYRRHETIN-SÄURE ENTHALTEND

(57) Abstract: Cosmetic preparations are disclosed, characterised in comprising an effective amount of glycyrrhetic acid and derivatives thereof.

(57) Zusammenfassung: Vorgeschlagen werden kosmetische Zubereitungen, die sich dadurch auszeichnen, dass sie eine wirksame Menge an Glyzyrrhetinsäure sowie deren Derivaten enthalten.

WO 2004/009038 A3